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PATENT
09/972,383



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sanjay (nmi) Kumar, et al.
Serial No.: 09/972,383
Date Filed: October 4, 2001
Title: FULFILLMENT MANAGEMENT SYSTEM FOR MANAGING
ATP DATA IN A DISTRIBUTED SUPPLY CHAIN
ENVIRONMENT

ATTENTION: OFFICIAL DRAFTSMAN
Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
NOV 30 2001
Technology Center 2100

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Preliminary Amendment (4 pages) and Substitute Declaration and Power of Attorney (6 pages) and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 5th day of November, 2001 and are addressed to the Honorable Commissioner for Patents, Washington, DC 20231.

Willie Jiles

Willie Jiles

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Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

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Dear Sir:

PRELIMINARY AMENDMENT

Please amend the Application as follows.

IN THE SPECIFICATION

On Page 1, line 3, please replace "60/238,307" with --60/239,397--.

On Page 1, line 12, please replace "__/ __, __" with --09/972,127--.

A replacement sheet for Page 1 of the Application is included in the Appendix. A Substitute Declaration and Power of Attorney is also enclosed.

REMARKS

Early and favorable acceptance of this Preliminary Amendment is respectfully requested.

Respectfully submitted,

BAKER BOTTS L.L.P.



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Attorneys for Applicants

Date: 11/2/01

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APPENDIX



FULFILLMENT MANAGEMENT SYSTEM FOR MANAGING ATP DATA
IN A DISTRIBUTED SUPPLY CHAIN ENVIRONMENT

CROSS-REFERENCE TO RELATED APPLICATIONS

This Application claims the benefit under 35 U.S.C. § 119(e) of U.S. Provisional Application Serial No. 60/239,397, filed October 5, 2000.

This Application is also related to:

5 U.S. Application Serial No. 08/491,167, filed June 16, 1995 by Kennedy et al. for a "System and Method for Managing Available to Promise (ATP)";

U.S. Application Serial No. 08/802,434, filed February 18, 1997 by Kennedy et al. for a "System and Method for Managing ATP";

10 U.S. Application Serial No. 09/398,171, filed September 17, 1999 by Kennedy et al. for a "System and Method for Managing ATP Data in a Distributed Supply Chain Planning Environment"; and

U.S. Application Serial No. 09/972,127, filed October 4, 2001 by Kumar et al. for a "Collaborative Fulfillment in a Distributed Supply Chain Environment."

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TECHNICAL FIELD OF THE INVENTION

20 This invention relates generally to the field of supply chain management, order fulfillment, and planning, and more particularly to a fulfillment management system for managing available-to-promise (ATP) data in a distributed supply chain environment.